





**Schedule C of FORM 990**

revised 11/93

**AMENDMENTS TO SCHEDULES A & B**

OFFICIAL USE

Applicant Name: ASENSIO & Company, Inc

Date: 11/30/93

Firm CRD No.: 31742

(Amendments to answers for Form 990 Item 3)

1. This Schedule C is used to amend Schedules A and B of Form 990. Refer to those schedules for specific instructions for completing this Schedule C. Complete each column. File with a completed Exemption Page (Page 1).

2. In the "Type of Amd." column, indicate "A" (addition), "D" (deletion), or "C" (change in information about the same person).

3. Ownership codes are: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75%  
 A - 5% but less than 10% C - 25% but less than 50% E - 75% or more

4. List below all changes to Schedule A: (DIRECT OWNERS AND EXECUTIVE OFFICERS)

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	Type of Amd.	Date Title or Status Acquired		Title or Status	Ownership Code	Control Person	CRD No. if Name: S.S. No., IRS T- No. or Employer ID.	Official Use Only
		Mo.	Yr.					
Morgenthal, Weiss & Company, Ltd.		7	93	Shareholder	E	YES	13-3724072	
Manuel P. Asensio	A	2	93	Chairman, President & CEO	NA	YES	114881	
Peter Jacquith	A	7	93	Director	NA	NO	257982	

5. List below all changes to Schedule B: (INDIRECT OWNERS)

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	Type of Amd.	Entity in Which Interest is Owned	Date Title or Status Acquired		Status	Ownership Code	Control Person	CRD No. if Name: S.S. No., IRS T- No. or Employer ID.	Official Use Only
			Mo.	Yr.					
Manuel P. Asensio	C	Morgenthal, Weiss + Co., Ltd.	7	93	Chairman, Pres. & CEO Shareholder	E	YES	114881	

When amending Form 8-B, provide complete detail for the item(s) being amended. File with a completed Execution page (Page 1).

**Schedule D of FORM 8-B**  
(revised 4/87)

OFFICIAL USE

Applicant Name: Asensio & Company, Inc.

Date: 10/29/93 Firm CRD No.: 31742

(Use this Schedule to report details of affirmative responses to questions on Form 8-B.)

Item of Form (Identify)	Answer
8(A)(1)(2)	<p>Yes. Asensio &amp; Company has a fully disclosed clearing agreement with Societe Generale ("SoGen") Securities Corp. dated June 29, 1993.</p> <p>Under this agreement SoGen carries all of the Company's accounts, receives and delivers all funds and securities to and from our client accounts and clears all of our trades.</p> <p>The address and telephone number of SoGen is:</p> <p>50 Rockefeller Plaza New York, NY 10020 TEL: (212) 957-3800</p>