

**Administrative Information:**

**Registrations with Previous Employer(s):**

From 07/07/1992 To 12/08/1992 GILFORD SECURITIES INCORPORATED(8076)

Reason for Termination: Voluntary

Termination Comment: Voluntary

Regulatory Authority	Registration Category	Status Date	Registration Status	Approval Date
CA	AG	12/15/1992	TERMED	08/06/1992
CT	AG	12/15/1992	TERMED	09/24/1992
DC	AG	12/15/1992	TERMED	07/27/1992
FL	AG	12/15/1992	TERMED	08/13/1992
MD	AG	12/15/1992	TERMED	08/11/1992
NASD	GP	12/15/1992	TERMED	08/06/1992
NASD	GS	12/15/1992	TERMED	08/06/1992
NY	AG	12/15/1992	TERMED	09/23/1992
GA	AG	09/11/1992	T_NOREG	
IA	AG	09/11/1992	T_NOREG	
IL	AG	09/11/1992	T_NOREG	
PA	AG	09/11/1992	T_NOREG	
TX	AG	09/11/1992	T_NOREG	

From 12/11/1989 To 07/10/1990 MARQUETTE DE BARY CO., INC.(1612)

Reason for Termination: \* Permitted to Resign

Termination Comment: PTR; POLICY DIFFERENCES

Regulatory Authority	Registration Category	Status Date	Registration Status	Approval Date
CA	AG	07/16/1990	TERMED	02/17/1990
CT	AG	07/16/1990	TERMED	02/20/1990
FL	AG	07/16/1990	TERMED	02/22/1990
NASD	GP	07/16/1990	TERMED	02/20/1990
NASD	GS	07/16/1990	TERMED	02/20/1990
NJ	AG	07/16/1990	TERMED	02/20/1990
NY	AG	07/16/1990	TERMED	04/02/1990

Administrative Information:

Registrations with Previous Employer(s) (cont):

From 06/01/1989 To 10/25/1989 LADENBURG, THALMANN & CO., INC.(505)  
Reason for Termination: Voluntary  
Termination Comment:

Regulatory Authority	Registration Category	Status Date	Registration Status	Approval Date
AMEX	GS	10/25/1989	TERMED	06/13/1989
CT	AG	10/25/1989	TERMED	06/13/1989
NASD	GP	10/25/1989	TERMED	06/13/1989
NASD	GS	10/25/1989	TERMED	06/13/1989
NJ	AG	10/25/1989	TERMED	06/14/1989
NY	AG	10/25/1989	TERMED	06/13/1989
NYSE	GS	10/25/1989	TERMED	06/13/1989

From 05/01/1989 To 10/01/1989 LAIDLAW GLOBAL SECURITIES, INC.(19018)  
Reason for Termination: \* Discharge  
Termination Comment: DIS; UNRELATED TO SECURTIES TRANSACTIONS AND

Regulatory Authority	Registration Category	Status Date	Registration Status	Approval Date
CT	AG	11/16/1989	TERMED	10/25/1989
NASD	GP	11/16/1989	TERMED	10/25/1989
NASD	GS	11/16/1989	TERMED	10/25/1989
NJ	AG	11/16/1989	TERMED	10/25/1989
NY	AG	11/16/1989	TERMED	10/25/1989